

## ATTORNEY'S DOCKET NO.: 2004229-0031

## **DECLARATION**

As a below named inventor, I hereby declare that:

X is attached hereto;

My residence, post office address and citizenship are as stated below next to my name,

I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

#### SYSTEM FOR AXIAL PATTERN ANALYSIS OF MULTICELLULAR ORGANISMS

the specification of which (I authorize Choate, Hall & Stewart to check one of the following three choices, and fill in the blanks, if applicable):

on	_ was filed on (if	as Application Serial Napplicable); or	oand amended
on		ational application No.  d was amended under PCT And f applicable).	
		ved and understood the conter amended by any amendment r	
		close information which is ma le 37, Code of Federal Regula	
foreign applicated below any foreign	tion(s) for patent or inve	benefits under Title 35, United entor's certificate listed below nt or inventor's certificate have claimed:	and have also identified
Prior Foreign	Application(s):		
(Number)	(Country)	(Day/Month/Year/Filed)	Yes No
(Number)	(Country)	(Day/Month/Year/Filed)	Yes No

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) or PCT international application(s) designating the United States of America listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

09/465,215	<u>December 15,1999</u>	Pending
(Application Serial No.)	(filing date)	(status-patented, pending, abandoned)
09/932,413	August 17, 2001	Pending
(Application Serial No.)	(filing date)	(status-patented, pending, abandoned)
PCT Applications design	nating the United States:	
(PCT Appl. No.)	(U.S.S.N.) (stat	us-patented, pending, abandoned)

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national filing date of this application.

# **Provisional Application(s):**

60/112,280	December 15, 1998
(Application Serial No.)	(filing date)
60/226,701	August 18, 2000
(Application Serial No.)	(filing date)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patents issued thereon.

Full name of third inventor W. Peter Hansen	
Inventor's signatureDate: _	
Residence 121 Top of Deane Hill Road, Canaan, NY 1	
Citizenship US	<del>-</del>
Post Office Address P. O. Box 315, Canaan, NY 12029	
Full name of first inventor Anthony A. Ferrante	
Inventor's signatureDate:	
Residence 15 Westlund Rd., Belmont, MA 02478 US	
Citizenship US	
Post Office Address Same	
Full name of second inventor Russel J. Gershman	
Inventor's signatureDate:	
Residence 19 Ward Street, Somerville, MA 02143	
Citizenship US	· · · · · · · · · · · · · · · · · · ·
Post Office Address Same	
Full name of fourth inventor Petra B. Krauledat	
Inventor's signatureDate:	
Residence 121 Top of Deane Hill Road, Canaan, NY 12	
Citizenship <u>Germany</u>	
Post Office Address	
	•
Full name of fifth inventor Donald F. Perrault, Jr.	
Inventor's signatureDate	
Residence 25 Bennett Street, Brighton, MA 02135	
Citizenship <u>US</u>	
Post Office Address <u>Same</u>	
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#### ATTORNEY'S DOCKET NUMBER: 2004229-0031

#### ASSIGNMENT

In consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, the undersigned W. Peter Hansen of Canaan, NY; Anthony A. Ferrante of Medford, MA; Russel J. Gershman of Somerville, MA; Petra B. Krauledat of Canaan, NY; and Donald F. Perrault, Jr. of Brighton, MA, hereby

Sell, assign, and transfer to Union Biometrica, Inc., having a place of business at 35

Medford Street, Suite 213, Somerville, MA 02143, its successors, assigns and legal representatives, all hereinafter referred to as the ASSIGNEE, their entire right, title and interest for the United States and all foreign countries, in and to any and all inventions which are disclosed in the application for United States Letters Patent entitled "System for Axial Pattern Analysis of Multicellular Organisms" filed February 15, 2002, and given serial number in and to said application and all divisional, continuing, substitute, renewal, reissue and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said inventions; and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said inventions, including the right to apply for patent rights in each foreign country and all rights to priority.

Agree that said ASSIGNEE may apply for and receive Letters Patent for said inventions in its own name; and when requested, without charge to but at the expense of said ASSIGNEE, agree to carry out in good faith the intent and purpose of this assignment by executing all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said inventions, by executing all rightful oaths, assignments, powers of attorney and other papers, by communicating to said ASSIGNEE all facts known to them relating to said inventions and the history thereof, and generally by doing everything possible which said ASSIGNEE shall consider desirable for aiding in securing and maintaining proper patent protection for said inventions and for vesting title to said inventions and all applications for patents and all patents on said inventions, in said ASSIGNEE.

Hereby request the Honorable Commissioner of Patents and Trademarks to issue said Letters Patent to said ASSIGNEE.

Covenant with said ASSIGNEE that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by them, and that full right to convey the same as herein expressed is possessed by them.

IN WITNESS WHEREOF, I h	_ this	day of		, 2002.
			XV D.4. TT	
STATE OF			W. Peter Ha	ansen
		SS.		
COUNTY OF		_		
Before me this	day of	, م و	2002, persona	ally appeared
W. Peter Hansen known to me t	to be the per	son whose nan	ne appears ab	ove subscribed to the
foregoing Assignment and ackno the purposes therein contained.	wledged that	at he executed t	the same as h	is free act and deed for
are purposes merem contained.				
			Natara D-11'	
			Notary Publi	c
in witness whereof, I he	ereto set my	hand and seal	at	
in witness whereof, I he	ereto set my _ this	hand and seal day of	at	, 2002.
in witness whereof, I he	ereto set my _this	hand and seal day of	at	, 2002.
in witness whereof, I he	ereto set my _this	hand and seal day of	at	, 2002.
in witness whereof, I he	ereto set my _this		atAnthony A.	
IN WITNESS WHEREOF, I he		_		
STATE OF				
		_		
STATE OF		- SS. -	Anthony A.	Ferrante
STATE OFCOUNTY OFBefore me this	day of	- SS. -	Anthony A.  2002, persona	Ferrante
STATE OF	day of	SS	Anthony A.  2002, persona name appear	Ferrante  ally appeared s above subscribed to
STATE OF	day of me to be the	SS	Anthony A.  2002, persona name appear	Ferrante  ally appeared s above subscribed t
STATE OF	day of me to be the	SS	Anthony A.  2002, persona name appear	Ferrante  ally appeared s above subscribed to
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IN WITNESS WHEREOF	this	day of _		, 2002.
			Russel J. Gershma	
STATE OF			Russel J. Gershine	ац
STATE OF		SS.		
COUNTY OF				
		_		
Before me this	day of		, 2002, personally app	peared
Russel J. Gershman known	to me to be the	person whose	name appears above	subscribed to
foregoing Assignment and ac	cknowledged tha	at he executed	the same as his free	act and deed fo
the purposes therein containe	-			
the purposes therein contains	ou.			
			Notary Public	<del></del>
			Trotaly I dollo	
IN WITNESS WHEREOF	. I hereto set my	hand and sea	.l at	
IN WITNESS WHEREOF	, I hereto set my	hand and sea	ıl at	2002.
IN WITNESS WHEREOF	, I hereto set my this	hand and sea	.l at,	, 2002.
IN WITNESS WHEREOF	, I hereto set my this	hand and sea	l at,	, 2002.
IN WITNESS WHEREOF	, I hereto set my this	hand and sea day of _	l at,	, 2002.
IN WITNESS WHEREOF	, I hereto set my this	hand and sea		
IN WITNESS WHEREOF	, I hereto set my this	hand and sea	l at, Petra B. Krauleda	
		hand and sea day of _		
IN WITNESS WHEREOF		-		
STATE OF		- SS.		
		- SS.		
STATE OFCOUNTY OF		- SS. -	Petra B. Krauleda	ıt .
STATE OF  COUNTY OF  Before me this	day of	- SS. -	Petra B. Krauleda	nt peared
STATE OFCOUNTY OF	day of	- SS. -	Petra B. Krauleda	nt peared
STATE OF  COUNTY OF  Before me this  Petra B. Krauledat known to	day of to me to be the p	SS.  Derson whose	Petra B. Krauleda , 2002, personally appare appears above	peared subscribed to the
STATE OF  COUNTY OF  Before me this  Petra B. Krauledat known to foregoing Assignment and according to the state of t	day of to me to be the p	SS.  Derson whose	Petra B. Krauleda , 2002, personally appare appears above	peared subscribed to the
STATE OF  COUNTY OF  Before me this  Petra B. Krauledat known to	day of to me to be the p	SS.  Derson whose	Petra B. Krauleda , 2002, personally appare appears above	peared subscribed to the
STATE OF  COUNTY OF  Before me this  Petra B. Krauledat known to foregoing Assignment and according to the state of t	day of to me to be the p	SS.  Derson whose	Petra B. Krauleda , 2002, personally appare appears above	peared subscribed to the
STATE OF  COUNTY OF  Before me this  Petra B. Krauledat known to foregoing Assignment and according to the state of t	day of to me to be the p	SS.  Derson whose	Petra B. Krauleda , 2002, personally app name appears above the same as his free	peared subscribed to the
STATE OF  COUNTY OF  Before me this  Petra B. Krauledat known to foregoing Assignment and according to the state of t	day of to me to be the p	SS.  Derson whose	Petra B. Krauleda , 2002, personally appare appears above	peared subscribed to t

	this	day of	, 2002.
		Donald	l F. Perrault, Jr.
STATE OF	·		
	:	SS.	
COUNTY OF		•	
Before me this	day of	, 2002, pe	ersonally appeared
Donald F. Perrault, Jr. kn	own to me to be the nd acknowledged	he person whose name	e appears above subscribed to ame as his free act and deed
		Notary	Public

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#### ATTORNEY'S DOCKET NO.: 2004229-0031

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Hansen, et al.

Examiner:

NYA

Serial No.:

NYA

Art Unit:

NYA

Filed:

February 15, 2002

For:

SYSTEM FOR AXIAL PATTERN ANALYSIS OF MULTICELLULAR

**ORGANISMS** 

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

# WRITTEN ASSERTION OF SMALL ENTITY STATUS UNDER 37 CFR §1.27(a)(2) SMALL BUSINESS CONCERN

The undersigned hereby asserts entitlement to small entity status as a small business concern under 37 CFR §1.27(a)(2) on behalf of Union Biometrica, Inc..

This assertion is made on the ground that Union Biometrica, Inc. is a concern

- (a) whose number of employees, including affiliates, does not exceed 500 persons; and
- (b) that *has not* assigned, granted, conveyed, or licensed, and is under no obligation under contract or law to assign, grant, convey, or license, any rights in the above-referenced patent application to any party who does not qualify for small entity status either as a person, a small business concern, or a nonprofit organization under 37 CFR §1.27, as set forth in 13 CFR §121.802.

Respectfully Submitted,

Dated:

Name:
Title:
Date:

CHOATE, HALL & STEWART 53 State Street Exchange Place Boston, MA 02109 Phone: (617) 248-5000 Fax: (617) 248-4000

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Applicant:

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Title:

SYSTEM FOR AXIAL PATTERN ANALYSIS OF MULTICELLULAR

**ORGANISMS** 

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

## **APPOINTMENT OF ATTORNEY**

Sam Pasternack, Registration No. 29,576; Brenda Herschbach Jarrell, Registration No. 39,223; Kevin M. Tormey, Registration No. 41,351; Karoline K. M. Shair, Registration No. 44,332; Elizabeth Nugent, Registration No. 43,839, Valarie B. Rosen, Registration No. 45,698; Anne Marie Dinius, Registration No. 45,592; C. Hunter Baker, Registration No. 46,533; Monica R. Gerber, Registration No. 46,724; Elijah Cocks, Registration No. 47,499; John Hamilton, Registration No. 48,946, and Howard H. Bayless, P-51,245 as its attorneys and agents for prosecution of matters relating to the above-identified patent application and to conduct all business in the United States Patent and Trademark Office.

All correspondence should be sent to Brenda Herschbach Jarrell, Ph.D., Choate, Hall & Stewart, Exchange Place, 53 State Street, Boston, Massachusetts 02109.

Respectfully submitted,				
Name:				
1,4422				
Titlet				
On behalf of Union Biometrica, Inc.				
Dated:				